

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of )  
SHINTANI et al. )  
Application Number: To be Assigned )  
Filed: Concurrently Herewith )  
For: OPTICAL DISK APPARATUS )  
ATTORNEY DOCKET NO. NITT.0163 )

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified, submitted herewith.

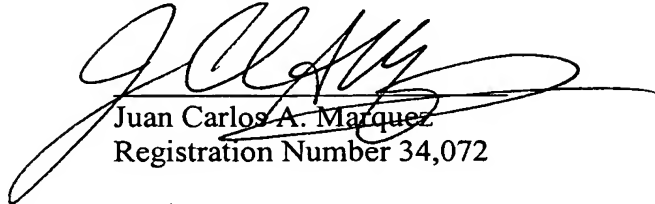
This Information Disclosure Statement is submitted with the initial filing of the application. Accordingly, no fee is due or payable at this time.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 08-1480.

Respectfully submitted,

\_\_\_\_\_  
Stanley P. Fisher  
Registration Number 24,344

  
Juan Carlos A. Marquez  
Registration Number 34,072

**REED SMITH LLP.**  
3110 Fairview Park Drive  
Suite 1400  
Falls Church, Virginia 22042  
(703) 641-4200

**November 17, 2003**

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| Form PTO 1449<br><br>U.S. Department of Commerce<br>Patent and Trademark Office<br><br>Information Disclosure Statement by Applicant | ATTY. DOCKET NUMBER<br>NITT.0163     | SERIAL NUMBER<br>To be Assigned |
|                                                                                                                                      | APPLICANT<br>SHINTANI et al.         |                                 |
|                                                                                                                                      | FILING DATE<br>Concurrently Herewith | GROUP                           |

## U.S. Patent Documents

| Examiner<br>Initial |  | DOCUMENT NUMBER | DATE | NAME | CLA<br>SS | SUBC<br>CLASS | FILING DATE |
|---------------------|--|-----------------|------|------|-----------|---------------|-------------|
|                     |  |                 |      |      |           |               |             |
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## Foreign Patent Documents

| Examiner<br>Initial |  | DOCUMENT NUMBER | FILING DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION |    |
|---------------------|--|-----------------|-------------|---------|-------|---------------|-------------|----|
|                     |  |                 |             |         |       |               | YES         | NO |
|                     |  | 60-185232       | 03-05-1984  | Japan   |       |               | Abstract    | X  |
|                     |  | 1-184631        | 01-13-1988  | Japan   |       |               | Abstract    | X  |
|                     |  | 2-27525         | 07-15-1988  | Japan   |       |               | Abstract    | X  |
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|                     |  |                 |             |         |       |               |             |    |
|                     |  |                 |             |         |       |               |             |    |

## Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

|                                                                                                                                                                                                                                                    |                                                                                                                                                                              |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                    | Horie, Michikazu et als., "Material Characterization and Application of Eutectic Sb Te Based Phase-Change Optical Recording Media", Proc. of SPIE Vol. 4342 , 2002, PP 76-87 |
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| EXAMINER                                                                                                                                                                                                                                           | DATE CONSIDERED                                                                                                                                                              |
| <i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i> |                                                                                                                                                                              |

\*This application is incorporated by reference into present application

PTO1449

**For : The Patent Application**

**Our Ref. :NT1353US**

**\* LIST OF THE REFERENCES**

1. Japanese Publication No.60-185232
2. Japanese Publication No.01-184631
3. Japanese Publication No.02-027525
4. Proceedings of SPIE vol.4342, Optical Data Storage 2001,  
Page76-87 (2001)